

Systematic Review CEE 11-001

What are the environmental impacts of the global cultivation of GM crops?

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1 Summary

2 1. Background & Objectives

3 Globally there continues to be a steady increase in the land area commercially cultivated to
4 genetically modified (GM) crops (James 2007). Accompanying this increase in cultivation is
5 an increase in publications assessing the environmental pros and cons from the uptake and
6 use of the GM technology. With all of this information coming into existence it is important
7 that government advisors and policy makers are presented with impartial, robust and
8 accurate appraisal of this information to assist them with their advice to government.
9

10 The objective was to answer the question “What are the environmental impacts of the global
11 cultivation of GM crops?”
12

13 This systematic review forms a partner to a sister review of the economic implications to GM
14 adoption.

15 2. Methods

16 A systematic search for relevant articles was conducted in four databases and one search
17 engine using search statements designed to identify any study in any country measuring
18 environment parameters at the farm scale, or above, where there was cropping of a
19 commercial GM trait. All retrieved articles were scanned at title, then abstract and finally full
20 text level using the criteria set out below in order to select those most relevant.
21

- 22 • Relevant subject: an environmental indicator recorded at the farm level or above
- 23 • Types of intervention: the cultivation of any commercial GM modification in the last 5
24 years (i.e. 2006 onwards) later modified to any publication in the last 5 years
- 25 • Types of outcome: seasonal or environmental impacts where there was comparison
26 with a conventional (non-GM) cropping system

27 3. Main Results

28 The use of a search statement particular to this review generated over 2 million hits in the
29 search engine, databases and grey literature searches. From these hits 5737 titles were
30 recovered from which 43 relevant papers were finally distilled. The information within these
31 43 papers was assessed using a narrative table and indicated that 84.6% found no adverse
32 effects on the environment from the cultivation of GM, 10.3% reported a beneficial effect and
33 5.1% a deleterious impact.
34

35 The environmental data suitable for extraction in 28 of these 43 papers was compiled into a
36 data set for subsequent meta-analysis. Initial investigation of the data set revealed that
37 adoption of GM did not maintain the environmental conditions observed within conventional
38 field sites, but significantly increased it from a background level of 1, indicating no difference,
39 to a mean value of 1.31.
40

41 Subsequent regression analysis indicated that there was no standard model to explain
42 variation in the extracted environmental outcomes. However, in models for both cotton and
43 maize, variables relating to the design of the study had a significant effect on outcome.

44 **4. Conclusions**

45 The evidence presented in this study does not indicate a significant reduction in
46 environmental indicators as a result of GM adoption. The undertaken analysis suggests that
47 there may be an overall increase in the examined ratio of environmental change under GM
48 over conventional systems. However, with the difficulties in interpreting environmental
49 changes with regard to relevance in the ecosystem and the lack of universal environmental
50 indicators, the wider field and landscape implications from GM adoption cannot be
51 ascertained.

52 **Main Text**

53 **1. Background**

54 There is continued debate regarding the environmental consequences of introducing
55 genetically modified (GM) crops and this underlies much of the global disparity in the uptake
56 of the technology. Despite these differences in how various countries and their public have
57 responded to the availability of a range of herbicide and insect tolerant crops the global
58 cultivated area continues to increase (James 2010). Much of the public's uncertainty in the
59 environmental safety of the GM technology arises out of divergence in personal belief and
60 levels of trust in companies that hold the rights to the technology and in the scientific
61 institutes that have conducted the risk assessments (Andow et al. 2006). With regard to the
62 scientific risk assessments and associated experimentation there are many criticisms,
63 particularly from Non Government Organisations, that these have often been insufficient.
64 According to several research groups, many experiments used to test the environmental
65 safety of GM crops are not field relevant, lack replication in design, cover single or limited
66 timescales, are limited in what they record or have been incomplete due to their destruction
67 by members of society opposed to the cultivation of GM (Marvier et al. 2007; Andow et al.
68 2006; Balog et al. 2010). Despite the potential flaws in some of the experiments conducted
69 there is an abundance of literature covering a range of crops, traits, countries and
70 environmental impact data (Ricroch et al. 2010).

71
72 Traditionally the interpretation of existing scientific data is undertaken by single authors or
73 small scientific groups conducting reviews of the published literature relevant to a particular
74 subject area as part of a new or existing project. Similar exercises have been undertaken for
75 GM crops and their associated technology often presenting very clear and concise
76 interpretation of the major findings (Beckie et al. 2006; Fitt 2008; Kleter et al. 2007; Lang and
77 Otto 2010; Widmer 2007; Brookes and Barfoot 2011). However, the review process does
78 little to address the discrepancies in personal concerns over GM or provide systematic
79 evaluation of the covered literature, methodologies and measurement tools. In order to
80 attempt to address this, a more novel approach is required that improves transparency,
81 reproducibility, objectivity and provides confidence in the outcomes. One such novel
82 approach is through systematic review (Stewart et al. 2005).

83

84 The process of systematic review differs to traditional literature reviewing in that the process
85 is transparent, rigorous and replicable. In adhering to the process the systematic review
86 achieves the removal of reviewer personal views, provides comprehensive summary of the

87 relevant literature and through the use of meta-analysis can provide improved statistical
88 interpretation of the findings and reasons for variation in the existing data and results.
89 The aim of the review reported here is to address the question 'What have been the
90 environmental impacts of the global cultivation of GM crops?'

91 2. Objectives

92

93 2.1 Primary objective

94

95 The primary objective was to answer the question: 'What have been the environmental
96 impacts of the global cultivation of GM crops?'

97 Execution of a systematic review requires that a specific question be posed containing three
98 key elements (Khan et al. 2001). The question for this review contains the following
99 components:

100

101 (1) a *subject*: an environmental indicator recorded at the farm level or above

102

103 (2) an *intervention*: the cultivation of any commercial GM modification in the last 5 years
104 (i.e. 2006 onwards) - later modified to any publication in the last 5 years

105

106 (3) the *desired outcome*: seasonal or environmental impacts where there was
107 comparison with a conventional (non-GM) cropping system

108

109 The use of a comparator in the outcomes of the question is often included as a fourth element
110 of the question and is typical of many systematic reviews. In the study reported here the
111 comparator was central to the review process.

112 3. Methods

113

114 3.1 Question formulation

115

116 Defra tendered a systematic review of peer reviewed and grey literature published since
117 2006 on GM crop technology. The aim was to assess the impacts that GM adoption may
118 have on both the crop and wider environment, whether deleterious or beneficial. In order to
119 achieve this SAC compiled a team of experts from a number of disciplines and external
120 companies to undertake a systematic review. The review question was formulated following
121 discussion between the SAC review panel and Defra, with subsequent modification following
122 peer review conducted via the CEE.

123

124 3.1.1 Search terms

125 In order to address the question posed a web based search statement was established from
126 three lists of keywords that were agreed by the expert panel conducting the review with the
127 funders, Defra, based on the components of the question that had been identified (Table 1).

128 The statement was further refined with numerous scoping studies and a peer review of the
129 protocol. The use of the wildcards * and \$ as well as SAME or quotation marks, as keyword
130 linkers, had to be altered for the syntax preferences of some search engines (see appendix

131 1). Keywords were linked with the OR command and the three resultant search statements
 132 linked with AND.

133

134 Table 1 Components of the primary question and the descriptions of them that were used to
 135 populate a table of keywords subsequently integrated into the search statements used in this
 136 systematic review.

Subject	Intervention	Outcomes	Comparators	Design
Environmental impacts as recorded at the scale of the farm level and above.	Genetically Modified (GM) crop cultivation in the past 5 years. Any commercial genetically modification (GM trait). Any GM crop.	Long term environmental impacts. Seasonal environmental impacts.	Conventional (non-GM) cropping system.	Any study comparing before or after GM crop cultivation OR comparing changes during GM crop cultivation with a conventional crop

137 3.1.2 Databases/websites

138 Alternative methods for searching some databases (e.g. advanced search instead of expert)
 139 also resulted in some truncation of the search term and similarly in some search engines the
 140 order of the AND command made a difference to the outcome of the Boolean search. These
 141 changes were noted when the search was run and the results recorded

142 The following databases were searched;

143 Web of Knowledge

144 Science Direct

145 CAB Direct

146 COPAC.

147 SCIRUS

148

149 3.1.3 Specialist sources

150 The words present in the search statement were also used in a manual search of the listings
 151 for publications from BCPC and Agbioview since 2006.

152

153 The review team contacted 14 individuals working in the area of the review.

154 **3.2 Study inclusion criteria**

155

156 Criteria for including studies were based on;

- 157 • Relevant subjects included any study conducted in any country considering the
 158 environment at the farm scale or above where there was cropping of a commercial
 159 GM trait.
- 160 • The intervention was focused on studies in which GM crop cultivation had occurred.

- 161 • Comparators of either the situation prior to cultivation of GM crop with the situation
162 post GM crop cultivation or changes within the season/s when GM and conventional
163 (non-GM) crops are cultivated together were presented.

164

165 The outcomes of these studies were likely to be changes in environmental parameters (e.g.
166 changes in species presence [weeds, predators, non-target organisms], changes in soil
167 diversity and function, impact on landscape) since cultivation of a GM crop.

168

169 In addition to this the review was limited to studies published since January 2006 (as
170 established by the client). Reported field trials could include environmental impact at a range
171 of scales (e.g. field, farm, valley, regional, countries, continental and global) across cropping
172 types (e.g. plant species) and GM traits.

173

174 Exclusion of studies was made on the basis of the use of non-commercial GM traits, the use
175 of only laboratory, glasshouse or modelled data and data acquired under experimental
176 conditions that lacked sufficient detail to be reproducible.

177

178 To pass each stage of the SR the study had to meet these criteria.

179

180 3.2.1 Title review

181

182 The reviewers independently reviewed 157 of the 5737 recovered titles and established 95%
183 similarity in title selection approach (Kappa 0.85). Review of the titles using the study criteria
184 given above initially resulted in 283 titles being identified as suitable for continuation to
185 abstract screening. 14 were found to have duplicates in the database that once removed
186 resulted in 269 novel titled articles that were selected for screening at abstract.

187

188 3.2.2 Abstract screening

189

190 In the protocol (section 2.1) the intervention for the study was one of crop cultivation within
191 the last 5 years. Imposing this intervention would have restricted the review to 10 papers. To
192 increase the potential number of included papers and valid data sets, it was decided to
193 change the intervention to simply include all papers published since January 2006, as had
194 been the basis of the search strategy. Following all abstract screening and agreement
195 between the reviewers a total of 102 papers was selected for full review, including 21 papers
196 not captured by the web searches, but identified in the bibliographies of papers selected for
197 full review that matched the selection criteria.

198 Analysis by both reviewers of a sub set of 24 of the abstracts indicated a 92% similarity
199 (Kappa 0.62) of selection decisions.

200

201 3.2.3 Full review

202

203 Full review was conducted by two members of the review team who sought agreement on
204 the list of papers suitable for final inclusion. The final list of papers for inclusion contained 43
205 papers and included 5 papers not identified in the web searches.

206 3.3 Study Quality Assessment

207

208 The scientific quality of the papers was ranked by the review authors who considered the
209 content of the papers in accordance with CEE guidelines (section 3.3). This was achieved by
210 assessment of the papers for:

- 211 • methods of assessment used
- 212 • trial design
- 213 • level of analysis (plot size, replication and number of years of trials)
- 214 • existence of comparable intervention between treatments
- 215 • inclusion of baselines methods of analysis
- 216 • level of detail of site descriptions
- 217 • management and the apparent quality and quantity of potential data within the paper.

218
219 On the basis of these criteria the reviewers assigned scores between 1 and 4 to represent;
220 (1) valueless, (2) poor, (3) good and (4) high quality. This screening provided a final check
221 on manuscript suitability and allowed for an initial narrative and qualitative analysis to be
222 undertaken.

223 3.4 Data extraction

224
225 Environmental data for a range of functional, diversity and abundance measures pertaining
226 to changes due to GM cultivation was extracted. Papers were initially pooled into whether
227 they dealt with environmental impacts on microbiology (13 papers), non-target arthropods
228 (22), earthworms (4), weeds (4) and pollen flow (4). Some papers contained information on
229 several of the desired environmental comparators, which resulted in 47 environmental
230 indicator studies being identified from the 43 papers. The papers were then screened for
231 relevant and comparable sub-sets of data and comparator information as listed in the
232 protocol. The overall findings of the papers were recorded according to whether the authors
233 reported deleterious, no adverse or beneficial impacts of GM cultivation. In cases where the
234 authors had not indicated an outcome, consultation followed by agreement on the status of
235 the findings was made by the review authors.

236
237 Data extraction was taken directly from text, tables and figures within the reported work
238 where it pertained to measures of environmental indicators that had similar comparators
239 elsewhere within the extracted literature. Due to the decision to utilise a ratio approach for
240 analysis data also had to have an identified paired comparator and that data with zero
241 values within a possible pair was also excluded. Within the arthropod data, only that which
242 pertained non-target organisms (i.e. not lepidopteran data) was extracted so that only
243 unintended environmental perturbations were included. Where papers reported data from
244 the same series of field experiments the data was pooled under the pertinent study to reduce
245 bias from author publication prowess.

246 Data was extracted into Microsoft Excel where the study characteristics from the eligible
247 papers were; author, title, year of publication, country of study, crop, trait, inserted genes,
248 plot size, number of plots, seasonality of data, management characteristics, management
249 modifications, environmental indicator and indicator specifics.

250
251 Four papers covering experimentation on seed movement or pollen flow were segregated at
252 this point as they predominantly did not contain GM to non-GM comparisons, but the data is
253 of relevance to environmental or landscape level of assessment of GM. Due to this the
254 papers relating to pollen flow were extracted and analysed separately.

255
256 Not all papers contained data that was suitable for extraction and analysis because either;
257 • the data had no obvious GM to non-GM comparators;
258 • was graphed in such a way that values could not be accurately obtained or;
259 • was simply absent from either text, figures or tables within the manuscripts.

260
261 This further restricted the subsequent recovery of data from the 39 selected manuscripts,
262 after removal of the pollen flow papers, to 28.

263
264 Reviewing the data for extraction quickly indicated that few of the papers contained sufficient
265 detail or uniformity of information to undertake Hedge's *d* weighted mean effect analysis, as
266 has been recently utilised elsewhere in GM systematic reviews (Marvier et al. 2007;
267 Wolfenbarger et al. 2008), and time constraints for fulfilment of the project meant that
268 contacting authors for additional information was impractical.

269 R, the ratio of the geometric mean of the GM treatment divided by the non-GM or in some
270 cases the non-GM and modifier treatment was the adopted method for statistical analysis.

271
272 The basic premise was to determine if there was an environmental change under GM and
273 would therefore be indicated by a R value significantly different to 1 being returned. A value
274 of 1 would indicate no difference in effect between comparators. A value significantly
275 different from 1 would indicate a change in the environmental indicator being assessed.

276
277 For each line of data extracted the calculated R value, the standard deviation, the standard
278 error of the mean and the number of data pairs that were used to establish R were recorded.
279

280 3.5 Data Synthesis

281
282 Initial assessment of the R values was conducted with Chi square and T test with the
283 assumption that if GM adoption had no impact on the environmental indicator then the
284 expected values would be 1. The data was then grouped by various comparators to
285 generate forest diagrams (Lewis and Clarke 2001) (Figures 2 to 6) and the independent data
286 within these sets analysed with unbalanced ANOVA of natural logarithm transformed data.
287 The meta-data set analysis of environmental outcomes from GM cultivation was conducted
288 with ordinary least squares (OLS) models, estimated (using SPSS19.0) to determine the
289 effects of the variables collected for the meta-analysis on the observed variation in
290 environmental outcomes as represented by the R values.

291
292 The purpose of this analysis was to identify whether the variation in outcome can be
293 explained by variables related to GM traits (e.g. trait type, genes); modifiers in the
294 conventional comparator (e.g. no modifier, tillage, pesticide application); environmental
295 indicators (e.g. arthropods, earthworms, microbiology, weeds); geographic location (e.g.
296 country, continent); or variables specific to the study (e.g. publication year, single or multi-
297 year data).

298 A number of dummy variables (1,0) were coded to account for the qualitative variables
299 extracted from the studies, in addition the publication year was rebased so that the earliest
300 year (2006) was given a value of 0 and subsequent years coded in increments of 1 (i.e.
301 2007=1, 2008=2 etc.).

302 4. Results

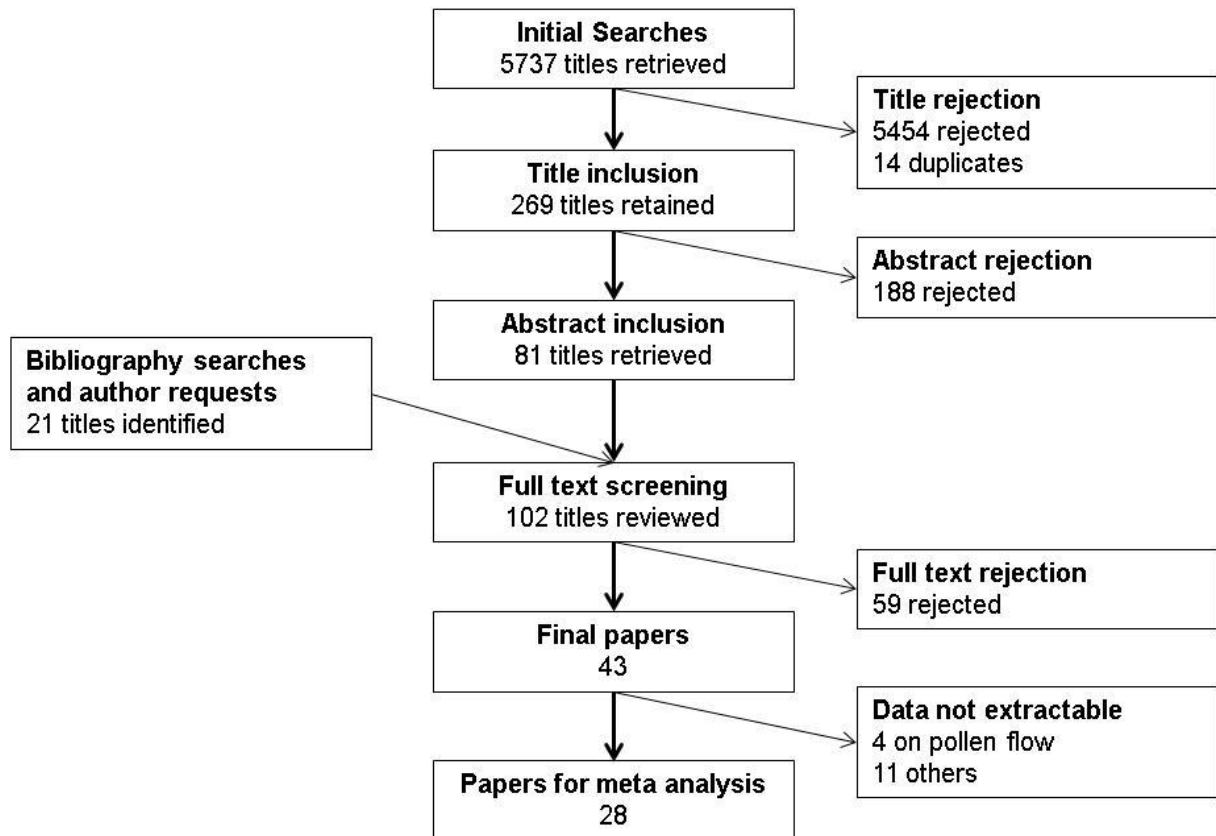
303

304 4.1 Studies found

305

306 The author reported findings of the papers indicated that 84.6% found no adverse effects on
307 the environment from the cultivation of GM, 10.3% reported a beneficial effect and 5.1% a
308 deleterious impact. This was equivalent to 89 trial years of no adverse effects, 9 years of
309 beneficial effects and 6 trial years of deleterious impacts.

310



311

312 Figure 1. Flowchart illustrating the processes and sequential reduction at the various stages
313 of the review to identify the papers and studies that contributed to the systematic review.

314

315 2340237 titles hits were recorded using the search statement in the indicated databases and
316 search engines of which 5737 titles were retrieved (Figure 1). The number of retrieved titles
317 was restricted from all hits due to exportation restrictions on some of the search engines and
318 databases (Table 2). Initial review of the 43 papers that remained at the end of the search
319 and criteria assessment indicated that there were 35 individual experimentation platforms
320 present in the assembled data, covering 119 years of field trials from 15 countries (Australia
321 (1), Canada (5), China (1), Czech Republic (1), Denmark (5), France (6), Germany (5),
322 Hungary (3), India (3), Netherlands (1), Portugal (1), South Africa (1), Spain (1), UK (4) and
323 the USA (9)).

324

325 The crops covered in the 43 papers included maize, cotton, oilseed rape (OSR), soy and
326 sugar beet with 31, 9, 4, 1 and 1 papers on each of these crops, respectively. Ten of the

327 papers dealt with herbicide tolerant traits, 29 investigated insect resistance and 4 dealt with
 328 both herbicide and insecticide traits (Table 3).

329

330 Table 2 Results of the internet hits for the searches conducted for the environmental
 331 systematic review and the offered additional papers. The number of titles retrieved is
 332 indicated as some of the search databases limited the number of retrievals possible. The
 333 number of titles taken through to abstract search as listed as Extracted titles and the totals
 334 are presented.

335

Search database	Statement modification	Hits	Retrieval	Extracted titles
Web of Knowledge	none	158	158	93
Science Direct	none	3954	1000	30
Science Direct	Six modifications to syntax and terms to use advanced search options	28, 32, 105, 15, 6 and 42	228 (160 novel)	17
CAB direct	none	9324	1000	72
CAB direct	Statement 2 AND 3	544	544	0
COPAC	none	9804	2500	1
COPAC	title word limit	180	180	0
Agbioview	Hand search	3374	62	57
BCPC	Hand search	1415	13	13
Search Engine				
Scirus	none	2311256	120	0
Bibliographies				21
Expert offered papers				12
Total		2340237	5737	316

336

337

338 4.2 Study quality assessment

339

340 Data presented in the final papers was assessed against the described criteria (section 3.3)
 341 and is summarised in Table 3. The quality assessment had reviewer assigned quality mean
 342 and standard error (in parentheses) scores for no adverse, beneficial and deleterious
 343 findings of 3.42 (0.10), 2.75 (0.25) and 3 (0.71), respectively, on the 1 – 4 scale where 1
 344 related to valueless and 4 was high quality. The data was not significantly different.

345 Table 3 List of the 43 titles selected from the search for inclusion in the review. The initial data assessment of the papers is presented along
 346 with the indication of information potentially extractable for meta analysis. *NI in the genes column represents that the information was 'not
 347 indicated' and could not be discerned from other papers relating to the reported trial.

First Author	Year	linked sites	data quality	study dates	Country	Crop	Modification	Genes	Potential data
Balog, A	2010	3	4	2001-2003	Hungary	Maize	insect	Cry1Ab	abundance (table 2, table 3) and aphid (table 5)
Balog, A	2011	3	3	2008	Hungary	Maize	insect	Cry1F Cry34Ab1 Cry35Ab1	abundance in table 1
Bourassa, S	2010		4	2004-2005	Canada	Maize	Herb	EPSPS	density (table 1 first 2 columns) activity density by rotation (table 3)
Carriere, Y	2009		3	2002-2003	USA	cotton	insect	Cry1Ac	none - no clear Bt to Conventional parameters expressed elsewhere
Cattaneo, N	2006		3	2002-2003	USA	Cotton	Both	Cry1Ac EPSPS	density and species richness
Cortet, J	2007	1	3	2002-2003	France, Denmark	Maize	insect	Cry1Ab	none
Cortet, J	2006	1	4	2002-2003	France, Denmark	Maize	insect	Cry1Ab	abundance data (table 3)
Darmency, H	2007		4	1995-2000	France	Beet	Herb	*NI	gene movement %
Debeljak, M	2007	1	3	2002-2003	Denmark	Maize	insect	Cry1Ab	Earthworm biomass (table 1). Abundance of collembolla (table 1)
Demaneche, M	2008		3	2007	France	Maize	insect	Cry1Ab	none - antibiotic resistance levels
Deavare, M	2007		4	2001-2003	USA	Maize	insect	Cry3Bb1	biomass, mineralisation, nitrification
Farinos, G	2008		4	2000-2002	Spain	Maize	insect	Cry1Ab	richness data in tabel 2 and rations in table 3
Firbank, L	2006	2	4	2000-2002	UK	Maize, OSR, Beet	Herb	NI	R values, seedbank numbers
Floate, K	2007		3	2000-2003	USA	Maize	insect	Cry1Ab	means of abundance where differences detected (tabe 3)
Frouz, J	2008		3	2002-2004	Czech Republic	Maize	insect	Cry1Ab	biomass, decomposition and abundance (table 1)
Gathman,	2006		3	2001-2003	Germany	Maize	insect	Cry1Ab	ratio of insects between treatments (table 3)
Gibbins, D	2006	2	2	2000-2002	UK	Maize, OSR, Beet	Herb	NI	none - weed data of FSE fitted to proposed bird diets.
Griffiths, B	2007	1	4	2002-2005	France, Denmark	Maize	Both	Cry1Ab bar	Bacteria to fungi ratios, nematode
Gulden, R	2009		4	2000-2006	Canada	Maize, soy bean	Herb	EPSPS	ground cover and weed desnsity
Heard, M	2006	2	4	2000-2002	UK	Maize	Herb	NI	R values, weeds, invertebrates for fields and margins
Hofs, J	2008		4	2003-2003	South Africa	Cotton	insect	Cry1Ac	diversity and abundance (table 1,2 and 3)

Hu, H	2009		2	2006	China	Cotton	insect	Cry1A					none - paper in Chinese
Icoz, I	2008		3	2003-2006	USA	Maize	insect	Cry3Bb1					log 10 CFU and enzyme activity
Krogh, P	2007	1	4	2003-2005	France, Denmark	Maize	Both	Cry1Ab	bar				abundance, biomass
Kumar, K	2007		2	2002	India	Cotton	insect	Cry1Ac	CryIIA				abundance (tables 1 and 2)
Lang, A	2007		3	2000-2003	Germany	Maize	insect	Cry1Ab					abundance, biomass,
Llewellyn, D	2007		4	2002-2005	Australia	Cotton	Both	Cry1Ac	Cry2Ab	EPSPS	bar		gene movement % into conventional buffers
Lupwayi, N	2007		3	2000-2005	Canada	OSR	Herb	EPSPS					biomass, diversity
Mann, R	2010		4	204-2005	India	cotton	insect	Cry1Ac	Cry2Ab				insect (pest and predator) numbers per plant
Miethling-Graff, R	2010		3	2005-2007	Germany	Maize	insect	Cry3Bb1					none
Oliveira, A	2008		4	2003-2004	Portugal	Maize	insect	Cry1Ab					cfu/g, dehydrogenase, ATP, nitrogenase
Powell, J	2009		3	2003-2006	Canada	Maize	herb	EPSPS					fungal to bacterial biomass, degradation
Prasad, N	2008		3	2005-2006	India	Cotton	insect	Cry1Ac					non-target in table 1
Priestley, A	2009		4	2003-2004	USA	Maize	insect	Cry1Ab					abundance data (tables 1, 3, 5, 6) species data (table 2)
Rauschen, S	2008		4	2002-2003	Germany	Maize	insect	Cry1Ab					ration estimates (table 4), abundance (table 2)
Rose, R	2007		3	2000-2001	USA	Maize	insect	Cry1Ab					none - data presentation limits use
Schier, A	2006		3	2002-2005	Germany	Maize	Herb	EPSPS					none - only RR maize planted
Simpson, E	2006		3	1998	UK	OSR	Herb	EPSPS	bar				percentage in crops at distance, data in text.
Szekeres, D	2006	3	3	2001-2003	Hungary	Maize	insect	Cry1Ab					abundance (table 1) species (table 2)
Torres, J	2007		4	2002-204	USA	Cotton	insect	Cry1Ac					abundance, diversity indices between bt and non-bt
Van De Wiel, C	2009		4	2006-2007	Netherlands	Maize	insect	Cry1Ac					pollen mediated gene flow percentages
Yanni, S	2011		3	2008-2009	Canada	maize	insect	NI					crop data, lignin, C\N
Zeilinger, A	2010		3	2005-2006	USA	Maize	insect	Cry1Ab	Cry3Bb1				ash free dry mass of earthworms

348

349

350 4.3 Qualitative synthesis

351

352 Herbicide tolerant traits

353 In the 16 papers dealing with herbicide traits, glufosinate ammonia (*bar* gene) and
354 glyphosate resistance (EPSPS gene) were represented in 4 and 8 of the 43 final papers,
355 respectively. In the remaining 4 papers pertaining to herbicide tolerant traits the gene or
356 herbicide involved could not be determined. Insecticide resistance genes were not
357 identifiable in 1(3% of papers dealing with insect resistance) of the papers dealing with these
358 traits. The gene Cry1Ab reported on in 18 (46%) of the papers, Cry1Ac was found in 9
359 papers (23%), Cry3Bb1 in 4 papers (10%) and Cry2Ac in 2 papers (5%). The genes Cry1A,
360 CryIIA, Cry1F, Cry34Ab1 and Cry35AB1 were identified in 1 paper each.

361 The 119 years of field data present in the papers covered trials from 1995 to 2009 and was
362 normally distributed (Shapiro-Wilk $p=0.1$) from 1998 to 2009 with a range from 1 to 25 trials
363 in 2003 and a mean of 9.67. The average number of years covered by the trials reported
364 within the papers was 2.77 years with a standard deviation of 1.32, a minimum of 1,
365 maximum of 7 and a median of 3.

366

367 Effect modifiers

368 It had been expected that several potential effect modifiers may have been recorded or
369 examined as part of the reported work. During the initial review of the papers it was noted
370 that effect modifiers pertaining to tillage, pesticide regimes, rotational changes and climatic
371 data were reported in 33% of the 43 papers. The split of these effect modifiers was;
372 conventional to reduced tillage 5 papers (12% of total), conventional to conventional without
373 pesticides 5 papers (12%), pesticide and tillage effects 1 paper (2%, but no extractable
374 data), rotations 2 papers (5%) and climate 1 paper (2%).

375

376 Pollen flow

377 Four papers from the search and selection process dealt with transgene escapes through
378 out-crossing and pollen flow. These papers represented work on cotton (Llewellyn et al.
379 2007), sugar beet (Darmency et al. 2007), maize (Van De Wiel et al. 2009) and OSR
380 (Simpson et al. 2006). There was no similarity in experimental design or in the nature of the
381 presented data reducing the potential methods of data comparison to a narrative summary of
382 the findings. Three of these four papers did not present a GM to non-GM comparison the
383 exception being Simpson et al. (2006) who used a conventional imidazolinone tolerant
384 variety to compare against glufosinate ammonia and glyphosate tolerant GM varieties.
385 Whilst the imidazolinone out-crossing was lower than the GM varieties, numerous
386 explanations for this observation are given (Simpson et al. 2006), which lead to the
387 conclusion that more work is required.

388 4.4 Meta data analysis

389 For meta-data analysis the 43 papers were re-read and data extracted according to the
390 presented criteria (section 3.5). The result of this was that information from 28 of the papers
391 was extracted resulting in 209 lines of R value data derived from 1339 paired data points.
392 The overall impression from the forest plots was that there was a general increase in the
393 environmental indicators recovered from the data sets in so far as the mean R values were
394 largely >1 .

395

396 Country

397 Exceptions to this were observed for trial data from South Africa and Spain (R = 0.76 and
398 0.86, respectively, Figure 2), cotton with insecticide and herbicide trial effects (R= 0.89),
399 sugar beet (R=0.88, Figure 3), studies involving Cry1Ab and Cry2Ac (as found in BollgardII
400 cotton, R= 0.94) and trials with Cry1F and Cry35Ab1 (R= 0.98, Figure 4) and cultivation
401 modifications where location (limited to South Africa) and rotation were included in the trial
402 (R= 0.74, Figure 5). Despite these apparent differences, unbalanced ANOVA analysis of the
403 natural log transformed data did not detect any significant differences ($p > 0.12$ in all
404 comparisons) between the indicated comparators. These unbalanced ANOVA assessments
405 of the various comparators also served as a sensitivity analysis of the data set.
406 To attempt to determine if the meta-data set was similar to a R value of 1, which would have
407 indicated no change from the adoption of GM, the data was run against a dummy set of R
408 values equal to 1. Chi squared analysis showed that there was a significant difference
409 between the two set ($\chi^2 = 622$, $df = 208$, $p < 0.001$). A two tailed T test of the data also
410 indicated a significant difference ($p < 0.01$) with the meta-data having a mean R value of 1.31.
411 Within the data set there was significant heterogeneity ($Q = 1134$, $df = 208$, $p < 0.001$), which
412 was explored further using regression analysis in SPSS with the recorded environmental
413 factors as independent variables.

414

415 Initial model specifications including all crop types were estimated, but no significant
416 explanatory variables were found for the R values. The next step was to explore models for
417 individual crop types; models for beet ($n=3$), maize and soy ($n=8$) and oilseed rape ($n=3$)
418 were not estimated due to low numbers of observations.

419

420 Cotton

421 The results of the regression analysis for the cotton studies ($n=32$) are presented in Table 4.
422 The variables are all significant ($p < 0.01$) as was the regression as a whole (p value of the F
423 value), the adjusted R^2 value indicated that the variables explained 77% of the observed
424 variation. The negative coefficient for the year variable indicated that more recently
425 published studies report lower R values (less environmental benefit of GM), however, the
426 extent to which this reflects more recently conducted studies is not clear. Studies reporting R
427 values over multiple years (as opposed to single years) also show reduced R values. The
428 positive value of the Asia dummy indicated that studies undertaken in India showed a more
429 favourable environmental outcome for GM versus the conventional comparator. The reduced
430 pesticide dummy was given a value of 1 where the conventional comparator was modified
431 through reduced use of pesticides; the negative sign of that coefficient was as expected and
432 reflected the higher environmental outcome (all based on arthropod data) under the
433 conventional comparator, thus reducing any relative advantage of GM.

434 Comparison of the standardised coefficients indicated that publication year has the greatest
435 influence in R value followed by multi-year data, country of study and modifier had opposite
436 effects of similar magnitude. These results indicated that study related factors explain more
437 of the variation in environmental outcome than both study location and what GM is
438 compared to.

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442

443 Table 4. Regression results for cotton studies (dependent variable = R value)
 444

	Coefficients		Standardised coefficients	t-stat	p-value
	B	Std. error	Beta		
Constant	3.614	0.346		10.452	0.000
Year (2006=0)	-0.847	0.083	-1.940	-10.236	0.000
Asia dummy (India)	0.712	0.218	0.565	3.270	0.003
Multi-year data dummy	-1.445	0.251	-1.229	-5.767	0.000
Reduced pesticide dummy	-1.747	0.314	-0.558	-5.567	0.000
Number of observation	32				
Adjusted R ²	0.767				
F value	26.482				0.000

445
 446 Maize
 447 The results of the regression analysis for maize are presented in Table 5. Despite the higher
 448 number of observations (n=163) in this dataset we were unable to estimate a model that
 449 performed as well as that for cotton. The overall model had a low goodness of fit (R² =
 450 2.7%), however, the model was significant (p<0.10). This suggests that there remain
 451 unreported but important variables explaining R. It should be noted that the dependent
 452 variable used for the maize model was the natural logarithm of the R value, this
 453 transformation was used to reduce the effect of a skewed distribution of R values that
 454 resulted from a small number of outliers.

455
 456 Table 5. Regression results for maize studies using country variable (dependent variable =
 457 ln R value)

	Coefficients		Standardised coefficients	t-stat	p-value
	B	Std. error	Beta		
Constant	0.067	0.065		1.036	0.302
Insect modification dummy	0.240	0.093	0.244	2.582	0.011
France dummy	-0.169	0.104	-0.148	-1.625	0.106
Portugal dummy	-0.268	0.143	-0.158	-1.875	0.063
USA dummy	-0.218	0.102	-0.195	-2.130	0.035
Number of observations	163				
Adjusted R ²	0.027				
F value	2.142				0.078

458
 459 Insect resistance
 460 The insect modification dummy variable had a positive coefficient indicating this modification,
 461 rather than herbicide tolerance, resulted in more positive environmental outcomes (i.e.
 462 higher R values). This was also the most influential variable as demonstrated by the
 463 standardised coefficient. Alternate analysis that considered specific genes, rather than the
 464 broader trait, did not produce significant results. The remaining significant variables all
 465 related to country specific effects, with the negative coefficients in each case indicating lower

466 R values in each of these countries. The coefficient for the France dummy was marginally
 467 insignificant at $p=0.10$, but removal of this variable from the model reduced overall model
 468 performance and significance. These results might be interpreted as country level effects as
 469 the studies for each of these countries involved the same trait (insecticide), but showed an
 470 opposite effect to that trait and the same gene (Cry1Ab), which in itself was not significant.
 471 However, the studies in each of these countries primarily used microbiological environmental
 472 indicators. A simpler model for maize, where environmental indicator was used in place of
 473 country variables (Table 6) performs better in terms of overall significance (0.057 vs. 0.078)
 474 and suggests that what might have been interpreted as a country level effect was in fact due
 475 to the choice of the environmental indicator used to determine R. In this case the negative
 476 coefficient showed that studies using microbiological indicators will produce a reduced value
 477 of R. The standardised coefficient indicates that this effect is stronger than that due to trait.
 478 The results of the regression analysis indicate that there is no standard model to explain
 479 variation in environmental outcome. However, in both the cotton and maize models,
 480 variables relating to the design of the study have a significant effect on outcome.

481

482 Table 6. Regression results for maize using environmental indicator variable (dependent
 483 variable = ln R value)

	Coefficients		Standardised	t-stat	p-value
	B	Std. error	Beta		
Constant	0.106	0.068		1.563	0.120
Insect modification dummy	0.145	0.078	0.147	1.843	0.067
Microbiology dummy	-0.138	0.071	-0.155	-1.948	0.053
Number of observations	163				
Adjusted R ²	0.023				
F value	2.923				0.057

484

485 5. Discussion

486 Narrative analysis of all 43 of the extracted papers indicated most clearly stated either no
 487 adverse effects or positive environmental benefits from GM adoption, but it is perhaps worth
 488 considering this point from those that reported deleterious impacts. In total, 5.1% of the 43
 489 papers reported deleterious environmental impacts of GM adoption. Five % is often taken as
 490 the point at which observations become significant and so, from the narrative analysis, there
 491 was evidence that deleterious environmental impacts from GM cultivation were occurring by
 492 mechanisms other than chance. However, this represents a crude interpretation of author
 493 opinions and hence the need for more rigorous analysis.

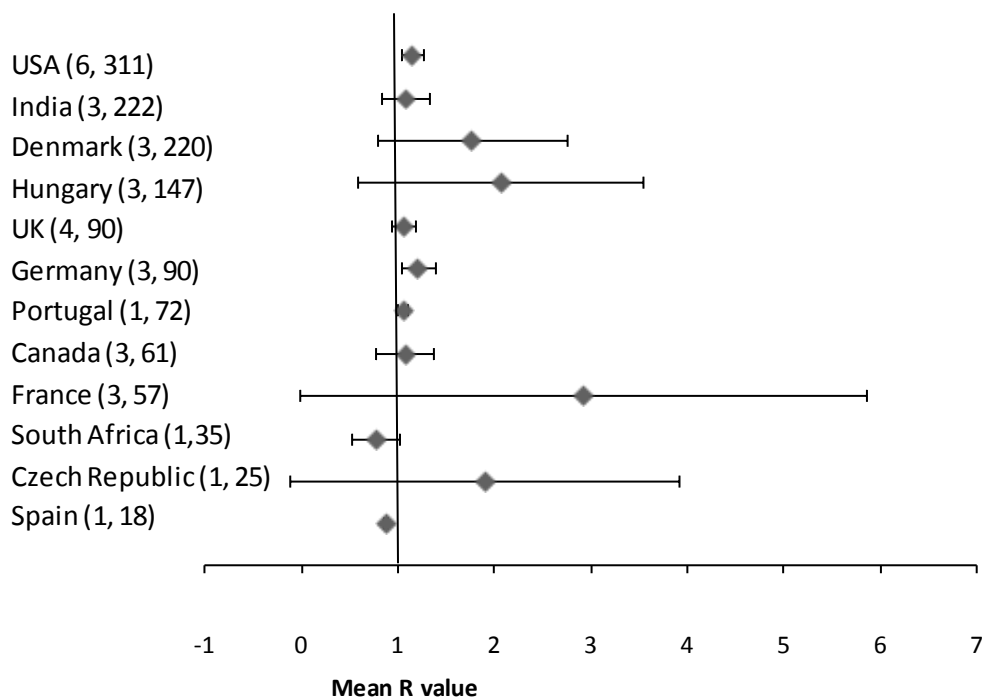
494

495 5.1 Evidence of environmental impact

496 The targeted approach to peer reviewed and grey literature recovery was deemed to have
 497 been successful in that none of the final material was found to be worthless during the
 498 qualitative assessment. Despite the effort exerted in the grey literature searches, none of the
 499 final 43 papers were a result of this undertaking. Theories for this were discussed and the
 500 general consensus was that because the target audience for this material is more diverse
 501 the content is generally less specific or in-depth.

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The number of papers finally selected may seem small given the subject area and the content of the papers and the cropping areas covered is also limited in the number of crops identified and the countries covered. There are currently 29 countries growing 12 commercial GM crops (James 2010) and yet we identified papers covering only 15 countries and 5 crops. Differences in the crops detected may be partially due to the geographical restrictions on the commercialisation of crops like poplar, tomato, sweet pepper, squash and papaya. Another potential reason for this discrepancy is that we restricted our analysis to papers published in the past 5 years, which may have excluded some of the crops on the grounds of when the work on them was published. The country difference is harder to reconcile, but may be due to the rapid adoption of GM in developing countries that is being observed (James 2010) and that these countries may be looking elsewhere for scientific guidance (Bodulovic 2005).



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Figure 2. Forest plot of the mean R values by country resulting from the division of GM environmental indicator measurements by conventional paired data. Error bars represent 95% confidence intervals derived from the meta data set generated for this study. Numbers in brackets represent the corresponding number of papers and the number of paired data sets that went into the calculated value.

Papers on pollen transfer between genetically modified crops and either wild or cropped compatible recipients made it through the search and review process, but were dealt with independently of the other papers and data because they did not present a GM to non-GM comparison with the exception of Simpson et al. (2006). The aspect of isolation distances was tackled in the cotton and maize work, which is significant from an EU standpoint as thresholds dictate the requirement for labelling. Both papers indicate that the tested buffer or isolation distances of 20 m for cotton (Llewellyn et al. 2007) and 25 m for maize (Van De Wiel et al. 2009) would prevent pollen mediated gene flow from exceeding the 0.9% labelling

532 threshold. However, the authors note that changes in field sizes, extreme weather
533 conditions, increased pollinator presence, areas of open ground and legislative threshold
534 changes all require consideration. Pollen flow from sugar beet was reported as accounting
535 for 0.4% of the resistant seeds that developed over the course of the work undertaken by
536 Darmency et al. (2007). Pollen movement was recorded over 277 m, which was greater than
537 that observed in the cotton and maize work, but the greatest issue with transgene escape
538 came from sugar beet bolters appearing in the following crop, which implies that suitable
539 management would be a required to restrict gene flow (Darmency et al. 2007).

540 A number of issues were identified within the papers that can have a further impact on the
541 extent of pollen movement in the environment and 75% of these papers identified the need
542 for further study either before or when GM cropping status changes. The general findings
543 were that pollen mediated gene flow occurred, but that it was within levels that would be
544 acceptable under the EU labelling threshold of 0.9% in 50% of the identified studies, whilst
545 not considered in this context in the remaining 50%. The limited number of studies relating to
546 GM pollen flow issues, identified with the methodology used in this review, meant that more
547 critical appraisal of the issue of pollen transfer was not possible and would be better
548 achieved through a more targeted systematic review of pollen flow from specific crops with
549 out time bound restrictions.

550
551 The systematic review of environmental indicators was undertaken using a ratio approach to
552 data extraction and analysis, similar to those undertaken in previous medical systematic
553 reviews (Crombie and Davies 2009). Given the wide range of potential environmental
554 indicators available within the selected papers this approach was also seen as one that
555 would allow for direct comparison across all possible data sets providing reported data could
556 be paired according to one of the prescribed environmental comparators and that neither
557 number in the pair was zero. Excluding pairs with 0 values meant that by not relying on total,
558 summed or average data assessments from paper we could extract data down to lower
559 levels of comparators and increase the number of data points in the meta-data set.

560 Additionally, comparison of data within five of the arthropod papers, in which there were
561 several 0 values for either GM or conventional observations, produced a mean R value of
562 1.08 for the extracted paired data whilst the mean R value, based on the totals from the
563 same paper had a mean of 1.02. Whilst omitting values for which there was not a non-zero
564 corresponding data point may have slightly elevated the reported R values, this observation
565 was not significant ($p=0.68$ in a two tailed T test) and indicated that the exclusion of pairs
566 with 0 values had little consequence on the sensitivity of the subsequent analysis. Extracting
567 the data in the way described meant that any positive response in an environmental indicator
568 under GM cultivation would result in a value of more than 1, whilst a reduction would
569 produce a value of less than 1 and no change a value of 1.

570 Standardising the data in this way meant that there was no direct GM and conventional data
571 sets to compare in subsequent analysis.

572
573 In order to determine if the effect of GM adoption had no impact upon the environmental
574 indicators a dummy set of values of 1 was constructed, the theory being that if the GM over
575 conventional ratios were statistically similar to 1 then there was no environmental change in
576 GM adoption. Results of the analysis clearly indicated that there was a significant difference
577 ($p<0.01$) between the GM to conventional ratio data and a potential value of 1 and that the
578 ratio data was higher with a mean of 1.31. However, this significant increase in the effect of
579 GM on the environment does have to be treated with caution firstly due to the method by

580 which it was derived, but also because at present it is not possible to necessarily associated
581 an increase in most, if not all, environmental parameters with an improvement in fitness or
582 health of the ecosystem. In order to attempt to resolve which of the reported and recorded
583 comparators could potentially be causing a shift in measured environmental parameters
584 forest plots were produced and a univariate meta-analysis undertaken.
585

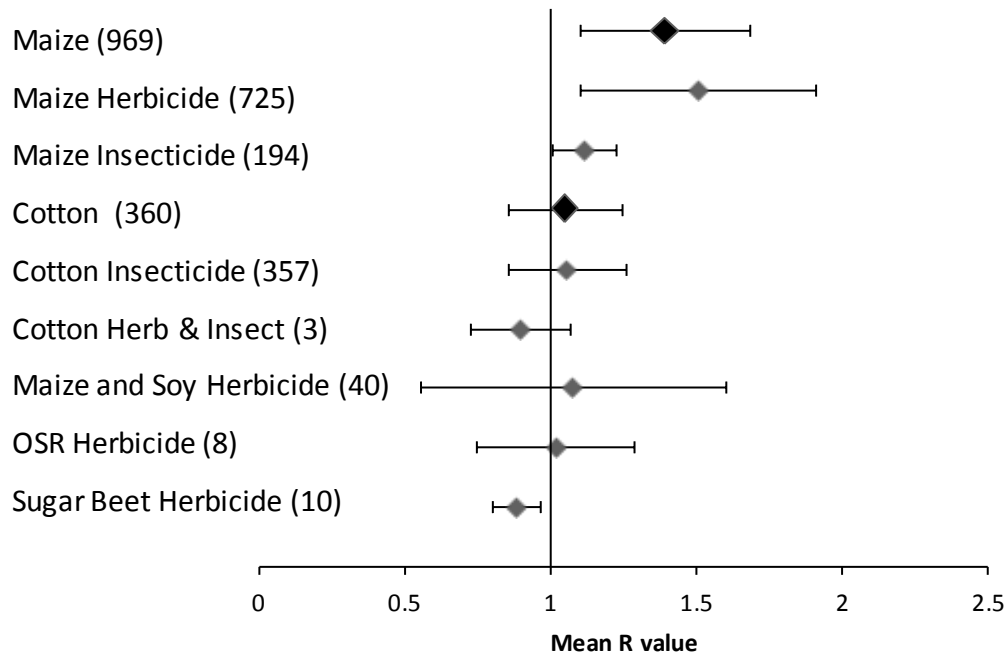
586 **5.2 Reasons for variation in impact**

587 The results of the regression analysis indicated that there is no standard model to explain
588 variation in environmental outcome. However, in both the cotton and maize models,
589 variables relating to the design of the study had a significant effect on outcome, although the
590 significance of trait or gene were often absent from these.
591

592 Analysis of the data, represented in the forest plots (figures 2 to 6), did not detect any
593 significant differences between comparators.

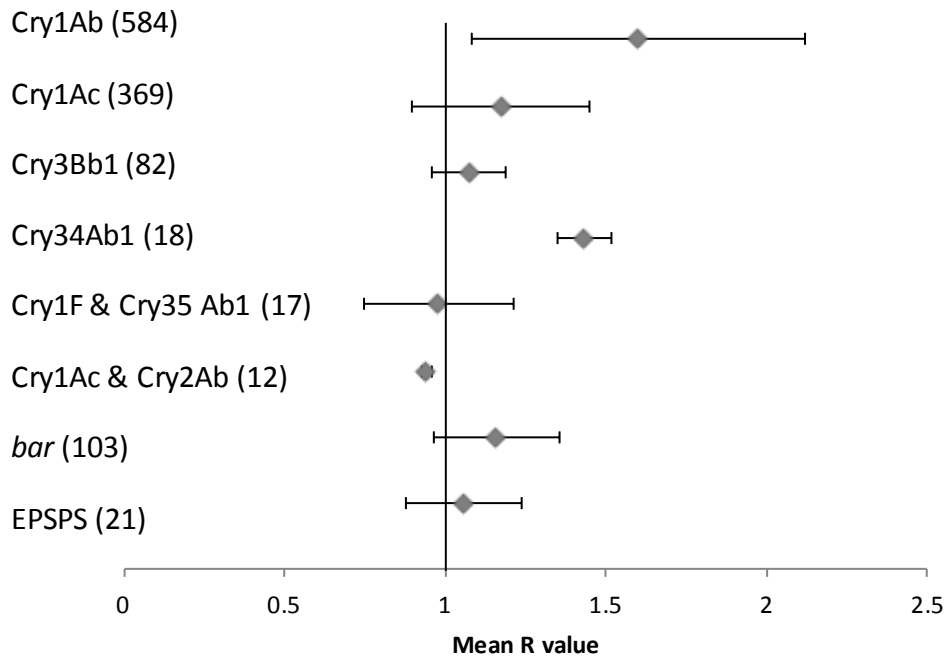
594 The univariate meta-analysis when modelled for cotton produced an adjusted R^2 value that
595 indicated that variables explained 77% of the observed variation. Comparison of the
596 standardised coefficients indicated that publication year had the greatest influence in R value
597 followed by multi-year data collection, with location and modifier comparators having
598 opposite effects of similar magnitude (Table 4). These results indicate that study related
599 factors explained more of the variation in environmental outcome than both study location
600 and what GM is compared to. The effect of year is potentially due to improvements in data
601 generation and handling techniques over the reported years. Multi-seasonal trials are likely
602 to offer improved accuracy and more robust data than single season experiments as they
603 capture the effects of seasonal environmental perturbations between trials and experiments.
604 Whilst the observation that modification of the cropping system had only a slight effect on the
605 variation it is worth mentioning that there was no explanation from the trait or gene insertion
606 and as such management is therefore likely to be more important in terms of environmental
607 impact that the presence of the GM as has been previously reported (Naranjo 2009).
608

609 This exercise was repeated for maize, but the R^2 value was 2.7 thus explaining less than 3%
610 of the variation in the data despite making up the biggest number of data points (n=163).
611 Insect modification had a positive coefficient, which indicated that insect resistance
612 modification rather than herbicide tolerance resulted in more positive environmental
613 outcomes. The remaining significant variables all related to country specific effects that
614 generally lowered the R value. Why the maize data behaved in this way is largely unclear,
615 but could be a result of the use of hybrids specific for the various regions of cultivation or due
616 to differences in cultivation practices and differences in growing season lengths and climate
617 around the world.
618

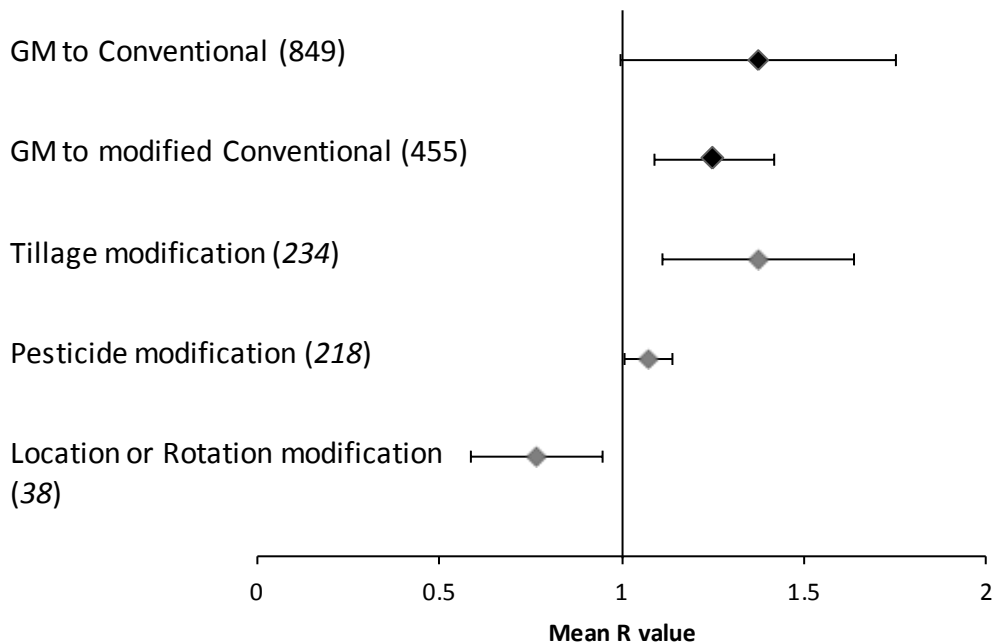


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 620 Figure 3. Forest plot of the mean R value for the crops and expressed GM trait represented
 621 in the meta data. Error bars represent 95% confidence intervals. Numbers in brackets are
 622 the paired data points used to generate the values. The values for overall maize and cotton
 623 (larger black diamonds) are presented, but are not independent of the subsequent crop data.
 624

625 The results presented in this review are in keeping with earlier systematic reviews of GM.
 626 Marvier et al. (2007) reported that non-target invertebrates were generally more abundant in
 627 Bt cotton and maize fields than in their non-transgenic counterparts, but that removal of
 628 insecticides reversed this observation. Wolfenbarger et al. (2007) extended the data set to
 629 incorporate potato as well. They reported that there were no uniform effects of Bt on the
 630 functional guilds of non-target arthropods, but highlighted the use of these techniques in
 631 examining replacement of existing agricultural practices. The data for these systematic
 632 analysis does not overlap with that discussed here, whilst the review by Riccroch et al. (2010)
 633 does share some of the extracted literature. Riccroch et al. (2010) concluded that in 39 of the
 634 41 papers they reviewed there was no impact from Cry1Ab adoption in maize and that in the
 635 2 papers that reported minor effects these were either due to indirect responses or varied
 636 over the season.
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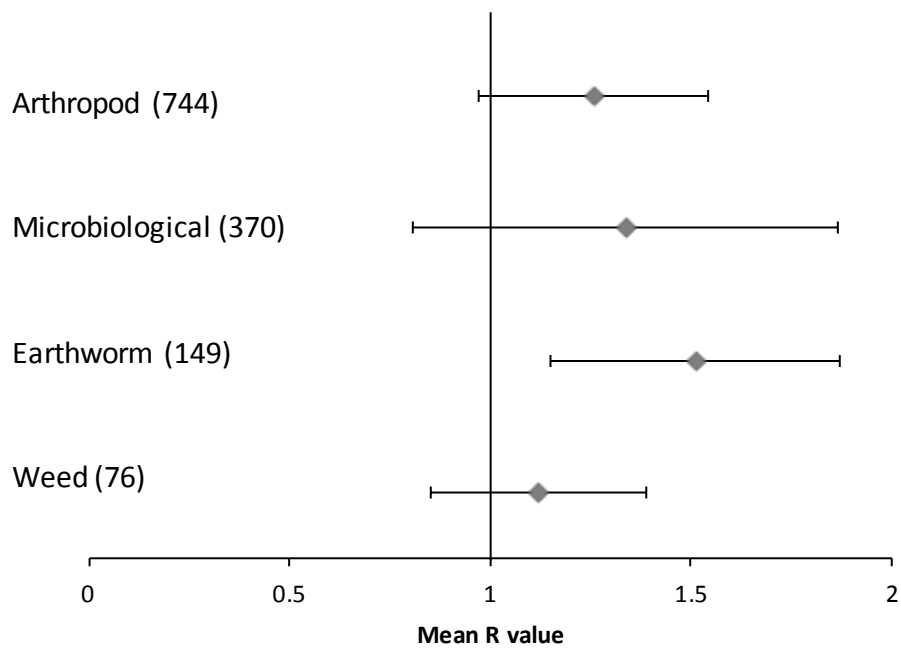


638
 639 Figure 4. Forest plot of the effect of different genes present in the meta data on the ratio of
 640 GM to conventional environmental indicators. Error bars represent 95% confidence intervals.
 641 All data is independent and the numbers in brackets represent the unique data pairs that
 642 comprised the analysis.
 643



644
 645 Figure 5. Comparison of the mean ratios for GM to conventional data for management
 646 conditions identified in the study. The tillage, pesticide and location or rotation data is not
 647 independent of the GM to modified conventional data. Error bars indicate 95% confidence
 648 intervals and numbers in brackets the paired data sets used to generate the result.

649 Differences among comparators were not significant based on unbalanced ANOVA
650 assessment of transformed data.
651



652
653
654 Figure 6. Forest plot of the mean ratio of GM to conventional data for the upper level of
655 environmental indicator categories identified across the extracted data. Error bars represent
656 95% confidence intervals. Numbers in bracket indicate the number of independent paired
657 data sets that comprised the result.

658 5.3 Review limitations

659 The posed question was too broad. Addressing the question to a specific crop, in less
660 restrictive field conditions and focusing on a specific environmental indicator would better
661 address whether the changes in GM adoption were beneficial or deleterious, rather than just
662 a significant change. This has been the approach of other recent systematic reviews of GM
663 impact that have been more focused in their approach, limiting themselves to specific traits
664 in a certain crop or crops (Marvier et al. 2007; Wolfenbarger et al. 2008; Ricoch et al. 2010).
665 Another alternative would have been to have used what is still seen as many as being the
666 best environmental indicator, yield. However, yield was rarely reported in the examined
667 papers. Whilst yield is a major component of the economics of crop production it was not
668 directly included in the sister review to this that focused on the economics of GM adoption.

669
670 Searches had been limited to the English language, which was justified in a scoping study
671 for relevant papers. Despite establishing English in the search criteria there were two papers
672 found after the abstract screening process, which reduced the papers to 118, subsequently
673 found to have the main text only available in Chinese or German. Two out of 118 or two out
674 of the 43 final full texts represents relatively small proportions, so the exclusion of non-
675 English papers is unlikely to have had a major effect on the findings of this review, however,
676 one possible improvement may be to include other languages if this process was to be
677 repeated.

678
679 We attempted here to provide an overview of all commercial traits and crops limited only by
680 the caveats of field data and publication since 2006. Whilst this restricted the papers to 43,
681 those that may have been missed or omitted might have been crucial in strengthening some
682 aspects of the review. It was noted that there was a lack of multiple gene insertion events
683 and stacked trait data in the extracted papers. According to James (2010) stacked traits are
684 on the increase and accounted for 41% of all commercial GM plantings in the USA in 2010.
685 Explanation for this may well be in the fact that the field trial data presented in the extracted
686 literature peaked in 2003, the year Cry2Ab was added to Cry1Ac in commercial cotton
687 (Monsanto Australia Limited 2003) and when herbicide resistance was still entering the
688 market. Whatever the reasons for these unexpected observations the process used to derive
689 the final 43 papers provided confidence in the selection.

690
691
692 Improvement in the analysis of the impact and the direction of the impact of the
693 environmental data considered in this review would only be possible if the data extracted
694 was comparable, probably through limitation to a specific species data or enzymatic test, or
695 if new statistical techniques were developed for handling non-comparable environmental
696 data.

697
698 The protocol for the review was approved in June 2011 and the searches, suitability,
699 extraction and analysis have all taken place since. Whilst more time might not have
700 overcome the issues of handling the environmental data it might have helped with obtaining
701 additional data sets. Several authors whose papers made the final 43, but from the
702 published papers there were issues with data extraction were contacted, but at the time of
703 writing only one had successfully managed to return supplemental data files.

704 **6 Reviewer's Conclusions**

705 Reviewing the literature published since January 2006 on the environmental impact of GM
706 crops using a systematic process produced a data set that indicated a significant increase in
707 environmental indicators with the adoption of GM from an expected ratio of 1, indicating no
708 difference, to 1.31.

709

710 There was a lack of statistically significant difference between the extracted comparators,
711 although a general trend was observed suggesting that in most of the situations investigated
712 the adoption of GM increased the measured environmental parameters.

713

714 Environmental indicators for beneficial and deleterious environmental changes do not
715 currently exist that could be applied to the scale attempted in this review or, for that matter,
716 to much smaller environmental scales.

717

718 Narrative analysis of the 43 recovered papers from the systematic search process indicated
719 that 84.6% found no adverse effects on the environment from the cultivation of GM, 10.3%
720 reported a beneficial effect and 5.1% a deleterious impact. Whilst this is an overwhelming
721 indication of a lack of negative impact from GM adoption the existence of more than 5% of
722 papers indicating a deleterious effect suggested that something more than chance may be at
723 work within the GM system.

724

725 In order to establish the comparators responsible for the variation observed within the
726 produced data set meta-analysis was conducted. The results of the regression analysis
727 indicate that there is no standard model to explain variation in environmental outcome.

728

729 In both cotton and maize models variables relating to the design of the study had a
730 significant effect on outcome, but these variables were not related to trait or gene insertion
731 and were generally either year, country specific or due to changes in cultivation practice in
732 origin.

733

734 This review is in keeping with several that have come before, all indicating that there is no
735 evidence to indicate a deleterious impact of GM on the environment, but that changes in
736 agricultural management can result in unintentional changes.

737 **7 Acknowledgements**

738 This work was produced in response to DEFRA tender FFG 0922, to conduct a systematic
739 review of the environmental and economic impacts of GM crops. The tender was split to
740 produce two reviews; one economic (CEE11-02) and one environmental (CEE11-01). The
741 sister economic review has been completed and is under review with DEFRA and the CEE.
742 Neither DEFRA, CEE, SAC nor Innovation Management have ventures or undertakings that
743 would profit from work pertaining to be either for or against the adoption of GM. Additionally
744 none of the organisations have links with external companies or other bodies that could have
745 either profited from this review or attempted to influence its outcome. In this regard this work
746 represents an unbiased interpretation of the available data.

747 During some components of this review additional statistical advice was provided by Dr
748 Gareth Hughes.

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DRAFT

969 Appendix I

970

971 Search engine Standard operating procedure and inclusion criteria 972 and decision

973 Search terms used and given in the protocols were used to derive the assessment of the
974 engines, prior to finalisation and use of the terms given in section 2 of the protocol.

975

976 1. Web of Knowledge

977

978 <http://wok.mimas.ac.uk/>

979

980 Click on the orange button “Click here to access Web of Knowledge”

981

982 Search terms

983 Search all combinations of pairs from the key word table – every term from the ‘key word
984 one’ column combined with every term from the ‘key word two’ column. This provides 112
985 searches that have to be conducted.

986

987 Search tips for Web of Knowledge

988 To search for an exact phrase, enclose the phrase in quotation marks. For example, the
989 query "**genetically modified**" will retrieve records that contain the exact phrase *genetically*
990 *modified*. This applies only to Topic and Title searches.

991

992 Wildcards: The asterisk (*) represents any group of characters, including no character.

993

994 When you search by Topic or Title, you must use at least three characters before the
995 asterisk, question mark, or dollar sign or your search will generate an error.

996

997 Search process for Web of Knowledge

998 Search “all databases”

999 Enter key word one in the first line and key word two in the second line (using the search
1000 terms from the tables above)

1001 From the drop down menus in the right hand boxes for the first two lines select “title”

1002 From the drop down menu in the right hand box for the third line, select “year published” –
1003 enter 2006-2011

1004

1005 For example, the first search is as follows:

1006

1007 Field OR Crop* OR Cultiva* NOT glasshouse NOT laboratory NOT pot* NOT microcosm*
1008 AND

1009 Bollgard* OR Roundup\$ready OR amoflora* OR liberty\$link OR Herbicide SAME toleran*

1010 OR Insect SAME resistan* OR Bt OR Genetically\$modified OR GM OR

1011 Genetically\$engineer* OR Transgenic* OR Biotech*

1012 AND
1013 Non-target OR Cross pollinat* OR Agronom* OR Pesticide OR Insecticide OR Herbicide OR
1014 Yield OR Environmen*SAME impact* OR Environment*SAME effect*OR Ecological SAME
1015 effect* OR Soil* OR Water OR Ecolo* OR Habitat* OR Ecosystem OR diversity

1016

1017 2006-2011 in Year Published

1018 This search (conducted on 26th July 2011) yielded 158 hits.

1019

1020 On the results page in Web of Knowledge there is an option to sort results “by relevance” –
1021 this should be selected from the drop down menu (default setting is ‘by date’).

1022

1023 At the bottom of the page is a box “Output records”.

1024 Under step 1, select the third option “Records”, make sure that in the box “step 2” the “plus
1025 abstract” option is ticked.

1026 Under step 3 select “other reference software” and save the text file.

1027

1028 When the next page comes up and the results have been processed, click on the ‘Export’
1029 button and save the file in the “R” drive in the folder “Defra GM Review”.

1030

1031 Name the file as follows:

1032 Database name search term one AND search term two

1033

1034 For example

1035 Web of knowledge genetically modified AND environ

1036

1037 The ISI-CE filter is required to import the text file into reference manager, but does not work
1038 perfectly. 4 of the 158 references did not transfer with a complete bibliography, although the
1039 web link did copy and remain active, allowing for file inclusion.

1040

1041 2. ScienceDirect

1042

1043 <http://www.sciencedirect.com/>

1044

1045 On the green band across the top Click on the “search” option

1046

1047 **Search terms**

1048 Search all combinations of search statements.

1049

1050 **Search tips for ScienceDirect**

1051 To find documents where your search terms appear together, enclose your phrase in double
1052 quotes. For example, the query "**genetically modified**" will retrieve records that contain the
1053 exact phrase *genetically modified*.

1054

1055 Wildcards: Use * on the end to find a root word plus all the words made by adding letters to
1056 the end of it. For example, econom*

1057 **Search process for ScienceDirect**

1058

1059 Search "Abstract, Title, key words"
1060
1061 Use the Expert tag to produce a large enough dialogue box to enter the entire search
1062 statement. Follow the Science Direct search guidelines and move the AND NOT
1063 components to the end of the search statement.
1064
1065 For example;
1066 Bollgard* OR Roundup\$ready OR amoflora* OR liberty\$link OR "Herbicide toleran*" OR
1067 "Insect resistan*" OR Bt OR Genetically\$modified OR GM OR Genetically\$engineer* OR
1068 Transgenic* OR Biotech* AND Non-target OR Cross pollinat* OR Agronom* OR Pesticide
1069 OR Insecticide OR Herbicide OR Yield OR "Environmen* impact*" OR "Environment* effect*" OR
1070 "Ecological effect*" OR Soil* OR Water OR Ecolo* OR Habitat* OR Ecosystem OR
1071 *diversity AND Field OR Crop* OR Cultiva* AND NOT glasshouse AND NOT laboratory AND
1072 NOT pot* AND NOT microcosm*
1073
1074 Include
1075 Journals and books
1076
1077 Source should be selected as All sources
1078
1079 Subject should be selected as All sciences
1080
1081 Date range should be 2006 to Present
1082
1083 This search (conducted on 26th July 2011) yielded 105 hits.
1084
1085 For comparison, using the advanced, which can not accommodate the entire proposed
1086 search statement, the following modified search in titles since 2006:
1087 Field OR Crop* OR Cultiva* AND NOT glasshouse laboratory pot* microcosm* AND
1088 Bollgard* OR Roundup\$ready OR amoflora* OR liberty\$link OR "Herbicide toleran*" OR
1089 "Insect resistan*" OR Bt OR Genetically\$modified OR GM OR Genetically\$engineer* OR
1090 Transgenic*
1091 AND
1092 Non-target OR Cross pollinat* OR Agronom* OR Pesticide OR Insecticide OR Herbicide OR
1093 Yield OR "Environmen* impact*" OR "Environment* effect*" OR "Ecological effect*" OR Soil*
1094 OR Water OR Ecolo* OR Habitat* OR Ecosystem OR *diversity
1095
1096 Resulted in 3954 hits on the same date.
1097
1098 On the results page click on "Export citations"
1099
1100 On the 'export citations' page, under 'content format' select "citations and abstracts".
1101 Make sure the 'export format' option selected is 'RIS format for Reference Manager, End
1102 Note, Pro Cite'.
1103 Click on the 'Export' button and save the file in the "R" drive in the folder "Defra GM review".
1104

1105 Problem: In some instances more than 1000 results will be encountered. Science Direct only
1106 provides an option to extract the first 1000 results in these instances, so the references were
1107 sorted by relevance prior to extraction. Science Direct would not run in Google Chrome.

1108
1109 Save each search as a separate file.

1110 1111 3. Copac

1112
1113 <http://copac.ac.uk/>

1114
1115 On the left hand side click on the green button “Search Copac” without logging in

1116 1117 **Search terms**

1118 Search all combinations of search statements.

1119 1120 **Search tips for Copac**

1121 For a **phrase** use quote marks. For example, the query "**genetically modified**" will retrieve
1122 records that contain the exact phrase *genetically modified*.

1123
1124 Wildcards: To **find variant word endings** cut the end off the search word and replace it with
1125 an asterisk *.

1126 **Search process for Copac**

1127
1128 The search page that comes up is the ‘quick search’ page. Click on the ‘main search’ tab.

1129
1130 In the title word box enter the search statement terms using AND as the connector.

1131
1132 In the ‘date published’ box enter 2006-

1133
1134 Scroll down the ‘language’ box and select “English”

1135
1136 A search (conducted 26th July 2011) yielded 9804 hits.

1137
1138 Problem: There is no “relevance” sort option. The default is alphabetically by title and
1139 extraction is limited to the first 2500 hits. There is also no option for Abstract retrieval.

1140
1141 Export all records as “EndNote, Zotero”. (Once all records are saved to ReferenceManager).

1142
1143 Some search criteria in COPAC generate thousands of hits, for example any search that had
1144 Biotech* as the first term. Reversing the search terms in COPAC, for example using cost
1145 AND biotech* reduces the number of returned hits significantly from 21200 to 210. This is
1146 clearly an issue with the search engine being used by this database. Other issues have been
1147 encountered with the use of wildcards on certain keywords. If a search order is modified to
1148 reflect the issue of size of retrieval and possible relevance then we will record this within the
1149 search results.

1150
1151 Click on the ‘Export’ button and save the file in the “R” drive in the folder “Defra GM review”.

1152

1153 4. Agbioview

1154 <http://www.agbioworld.org/search/index.html>

1155

1156 This is a business newsletter delivered electronically several times a month. It had been
1157 received and stored by Bruce Knight, Innovation Management, since 2004. On average 5
1158 newsletters per month had been received with around 10 articles, or about 600 articles per
1159 year.

1160 The service is provided by the US based organisation Agbioworld, www.agbioworld.com .
1161 Agbioview is compiled by Dr. C. S. Prakash, Professor in Plant Molecular Genetics and
1162 Director of the Center for Plant Biotechnology Research at Tuskegee University, Alabama.
1163 Agbioworld supports the application of GM crops, consequently the articles selected tend not
1164 to feature publication by activist GMOs. However, many articles are responding to activist's
1165 reports.

1166 Agbioview covers mainly English language publications from around the world. Articles vary
1167 in depth from press reports from popular newspapers to in depth study reports. All articles
1168 relate to GM crops and occasionally to the wider benefits from science based crop
1169 production.

1170

1171 Search terms

1172 Search all combinations of pairs from the key word table – every term from the 'key word
1173 one' column combined with every term from the 'key word two' column. This provides 112
1174 searches that have to be conducted.

1175

1176 Search tips for Agbioworld

1177 In addition, there are several ways to modify the default search behavior.

1178

1. phrase search

1179 The search engine supports three types of phrase search.

- 1180 • To match an exact phrase, use quotes around the phrase
1181 Example: "free search engine"
- 1182 • To match a near (within a couple of words) phrase, use square brackets
1183 [around the words]
1184 Example: [free search engine]
- 1185 • To match a far (within several words) phrase, use braces around the words
1186 Example: {free search engine}

1187

2. + and - qualifiers

1188 If you prepend a word with + that word is required to be on the page.

1189 If you prepend a word with - that word is required to *not* be on the page.

1190 Example: +always -never

1191

3. * wildcard

1192 If a query word ends with a * all words on a page which start the same way as that
1193 query word will match.

1194 Example: gift*

1195

4. ? wildcard

1196 If a query word contains a ? any character will match that position.

1197 Example: b?g

1198

5. boolean search

1199 You can use the following boolean operators in your search: AND, OR, NOT. These
1200 operators MUST be in capital letters.

1201 Example: (contact AND us) OR (about AND us)

1202

1203 **Search process for Agbioworld**

1204

1205 Enter keyword one and keyword two into the search box

1206

1207 For example, the first search is:

1208

1209 “Genetically modified” AND environ*

1210

1211 This search yielded 27 hits on 1st November 2010

1212

1213 Problem: Accessing the links displayed in the search results displays a secondary layer of
1214 material. The method by which this material has been linked to the initial search terms is not
1215 evident and therefore we can not be sure of how this material relates to our search criteria.

1216 There appears to be no way to export results other than copy and paste the page into a word
1217 document.

1218

1219 A manual method of search was conducted where by all article titles were reviewed by Bruce
1220 Knight and those of relevance to this review highlighted in a list titles. From this the titles
1221 were extracted and the links to the manuscripts followed for further inclusion in the review
1222 process.

1223

1224 **5. BCPC**

1225 <http://www.bcpc.org/>

1226

1227 This newsletter is published by the British Crop Production Council. This is delivered 2-3
1228 times a month. It had been received and stored by Bruce Knight, Innovation Management,
1229 since late 2008. BCPC news lists web sites under a range of headings, including GM crops.
1230 Typically 20 GM crop web sites are listed each time, so about 700 per year.

1231 The articles/web sites selected are from world- wide sources and relatively broad in scope
1232 including publications from NGOs. Many are scientific research and regulatory reports.

1233

1234 **Search terms**

1235

1236 Search all combinations of pairs from the key word table – every term from the ‘key word one’
1237 column combined with every term from the ‘key word two’ column. This provides 112 searches that
1238 have to be conducted.

1239

1240 **Search tips for BCPC**

1241

1242 Attempt searches using the left hand of the page search box (text is faint when entered)

1243 **Search process for BCPC**

1244

1245 A search on 01/11/2010 for the following searches; Genetically modified AND Environ,
1246 Genetically modified AND Environ*, and Genetically modified all failed to return any hits. A
1247 search for Genetically returned a single hit to the BCPC ‘The GM Crop Manual’.

1248 The lack of value to this search retrieval meant it will not be used in subsequent electronic
1249 searches.

1250
1251 The newsletters were studied methodically and any articles that featured information on
1252 economics or environmental issues were short listed, extracted and saved.
1253 Once the selection protocols were defined the saved articles were studied and only those
1254 articles that met the protocol were extracted. In many cases the published articles,
1255 particularly press reports, made reference to original documents. These original documents
1256 were then sourced through search engines.
1257 In some cases the articles or papers covered information relevant to GM economics as well
1258 as environmental data.
1259

1260 **6. Scirus**

1261 <http://www.scirus.com/srsapp/advanced/index.jsp?q1=>

1262 **Search terms**

1263 Search all combinations using the search statement strategy provided in the document

1264 **Search tips for Scirus**

1265 You can use quotation marks (" ") to search for an exact phrase.

1266 Use an asterisk (*) to replace multiple characters e.g. parasit* finds parasite, parasitic,
1267 parasitology, parasitemia

1270 **Search process for Scirus**

1271 At the top of the page (right hand side) click on "preferences" and under "number of results"
1272 select display "50" results per page.

1273 Click on save preferences

1274
1275 On the 'advanced search' page

1276
1277 In the first row enter the search statement 1AND 2 AND 3.

1278 NOTE: changing the order of the statements changed the selected results. As such 120
1279 references were retrieved. Forty from a 1 AND 2 AND 3 search, 40 from a 2 AND 3 AND 1
1280 search and 40 from a 3 AND 2 AND 1 search.

1281
1282 Against "dates" enter 2006 to 2011

1283
1284 Limit 'File Formats' to PDF and WORD.

1285
1286 Under 'Content Sources' show the full list and select all apart from Science Direct to avoid
1287 duplication.

1288
1289 Leave everything else as default settings

1290
1291 At the top of the page just above the results tick the little tick box to select all 100 hits on this
1292 first page. SCIRUS will only export the first 40 hits at a time. Check the first 40 results then
1293 click on "export" checked results

1294
1295 This brings up a small "export results" box

1296
1297 Select export "citations, abstract and keywords"
1298 Leave file format as "RIS format"
1299 Make sure that the option "Save file to disk or open Reference Software (depends on your
1300 settings)" is selected and then click on "Export"
1301
1302 Save as a reference manager file in the folder in the R drive
1303
1304 Name the file database name keyword AND one keyword two
1305
1306 **7. CAB Direct**
1307 <http://www.cabdirect.org>

1308 **Search terms**

1309 ((Field OR Crop* OR Cultiva*) NOT glasshouse NOT laboratory NOT pot* NOT microcosm*)
1310 AND
1311 (Bollgard* OR Roundup\$ready OR amoflora* OR liberty\$link OR Herbicide SAME toleran*
1312 OR Insect SAME resistan* OR Bt OR Genetically\$modified OR GM OR
1313 Genetically\$engineer* OR Transgenic* OR Biotech*)
1314 AND (Non-target OR Cross pollinat* OR Agronom* OR Pesticide OR Insecticide OR
1315 Herbicide OR Yield OR Environmen* SAME impact* OR Environment* SAME effect* OR
1316 Ecological effect* OR Soil* OR Water OR Ecolo* OR Habitat* OR Ecosystem OR diversity)
1317

1318 Search in titles produced 0 hits. Changing the search parameter to all fields, since 2006 in
1319 English returned 9342 results, but there was difficulty extracting them as marking all hits for
1320 export resulted in the system crashing repeatedly over 3 days of trying. Getting around this
1321 issue could not be resolved. As such it was decided to recover the first 1000 (as this was
1322 comparable to Science Direct). The references were ordered by relevance and the first 10
1323 pages with 100 hits each was recovered. Titles in pages 11 and 12 were screened and none
1324 would have been taken forward to abstract review so this was deemed to have captured the
1325 majority of relevant titles.

1326
1327 A refined attempt using just the second and third search statements was also undertaken:
1328 (Bollgard* OR Roundup\$ready OR amoflora* OR liberty\$link OR Herbicide SAME toleran*
1329 OR Insect SAME resistan* OR Bt OR Genetically\$modified OR GM OR
1330 Genetically\$engineer* OR Transgenic* OR Biotech*) AND (Non-target OR Cross pollinat*
1331 OR Agronom* OR Pesticide OR Insecticide OR Herbicide OR Yield OR Environmen* SAME
1332 impact* OR Environment* SAME effect* OR Ecological effect* OR Soil* OR Water OR
1333 Ecolo* OR Habitat* OR Ecosystem OR diversity)

1334
1335 Selected article title and from 2006 produced 544 hits.
1336 Selecting 100 entries per page, marking all and then extracting to Reference manager 1
1337 page at a time was successful in retrieving these references.

1338
1339 NOTE: Retrieval failed to work in Explorer 9 and Google Chrome was used as the internet
1340 browser to make this possible.

1341